

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sankaran et al. Confirmation No. 3469  
Serial No.: 09/501,154 Examiner: Nguyen, Nga B.  
Filed: February 9, 2000 Art Unit: 3692  
For: Method And System For Interactive Initial Offering Of Multi-Class Financial Instruments

**AMENDMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the November 21, 2006 final office action, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 6 of this paper.

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